

Use of uncertainty information in compliance assessment

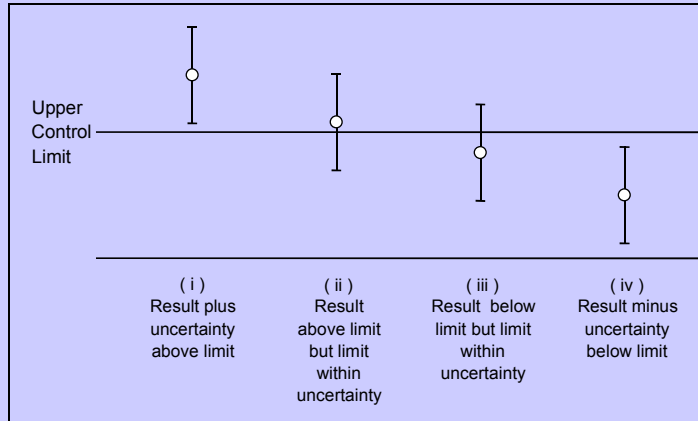
EURACHEM/CITAC Guidance note

Alex Williams

**Chairman EURACHEM/CITAC WG
Measurement Uncertainty & Traceability**

INTRODUCTION

- Many analyses carried out to check compliance with a specification or regulation
- Necessary to take into account the measurement uncertainty when assessing compliance
- How can this be done?



Guidance should cover cases (ii) & (iii)

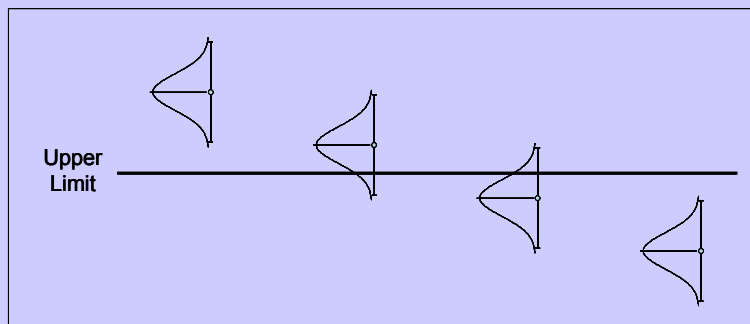
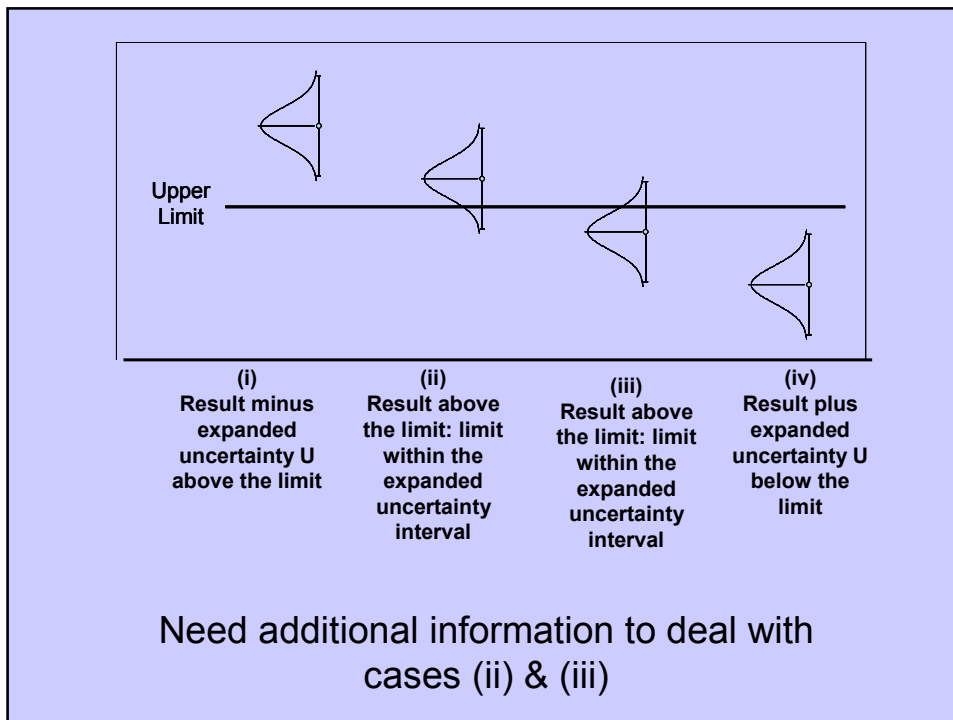


Figure 1 Assessment of Compliance with an Upper Limit



What additional information is required ?

- It is provided by the use of “Decision rules”
- Introduced in ASME B89.7.3.1-2001
- Decision rules, enable an “Acceptance Zone” and a “Rejection Zone” to be clearly defined

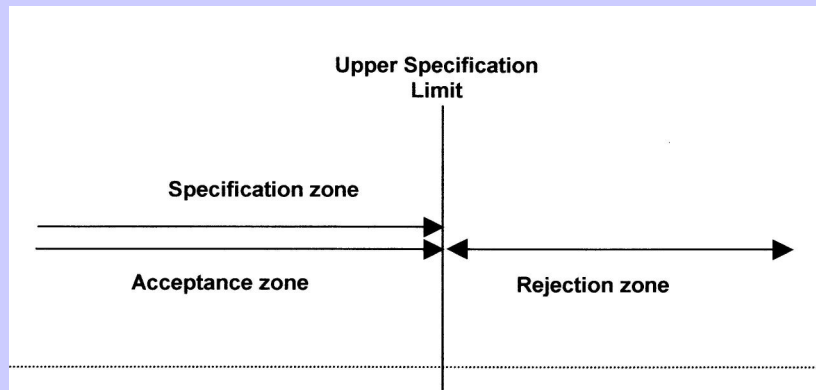
Required Information

- A specification giving upper and/or lower permitted limits
- A decision rule that describes how the uncertainty will be taken into account.
- The limit(s) of the acceptance or rejection zone (i.e. the range of results), derived from the measurement result and a stated uncertainty decision rule

Simple example of a Decision Rule

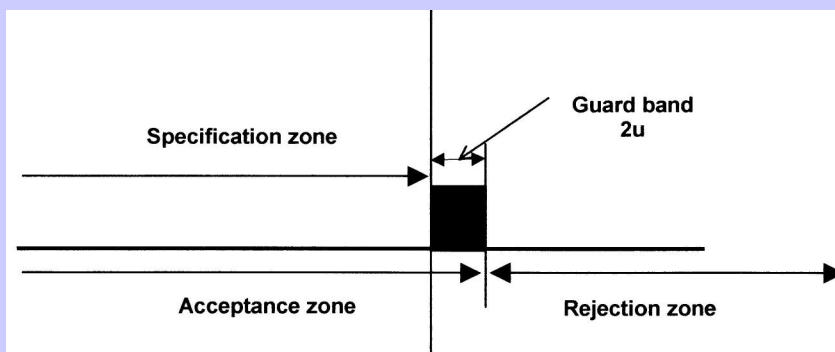
- A result equal to or above the upper limit implies non-compliance result below the limit implies compliance,
- provided that uncertainty is below a specified value.
- e.g. uncertainty is small compared with the limit
- the risk of making a wrong decision is acceptable.

Examples of Decision Rules



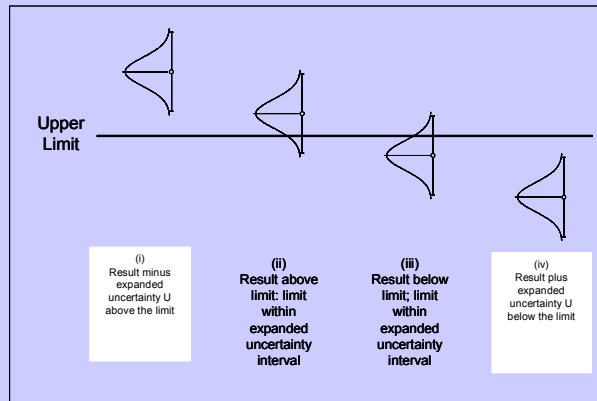
Examples of Decision Rules

Non compliant with an upper limit if the measured value exceeds the limit by more than $2u$

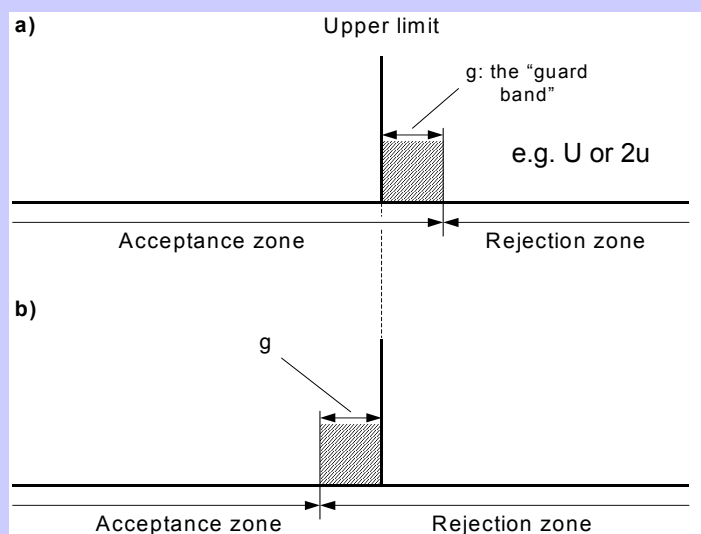


Examples of Decision Rules

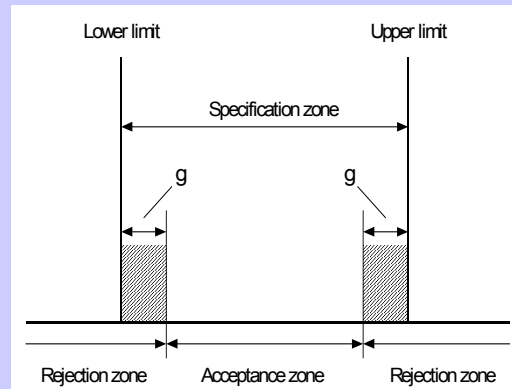
Non compliant with an upper limit if the measured value exceeds the limit by more than the expanded uncertainty U



Decision Rules & Guard Bands



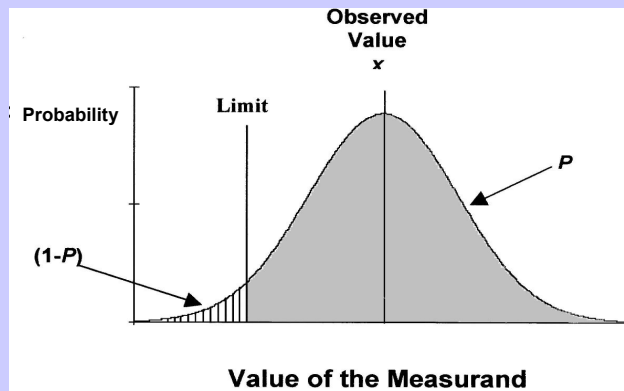
Decision Rules & Guard Bands



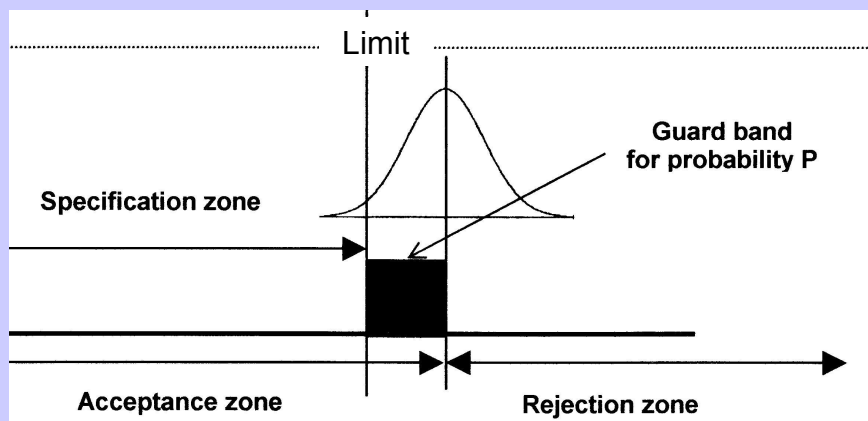
Decision Rules & Guard Bands

- ❑ clear method of determining the location of acceptance and rejection zones
- ❑ minimum acceptable level of the probability that the value of the measurand lies within the specification limits
- ❑ procedure for dealing with repeated measurements and outliers

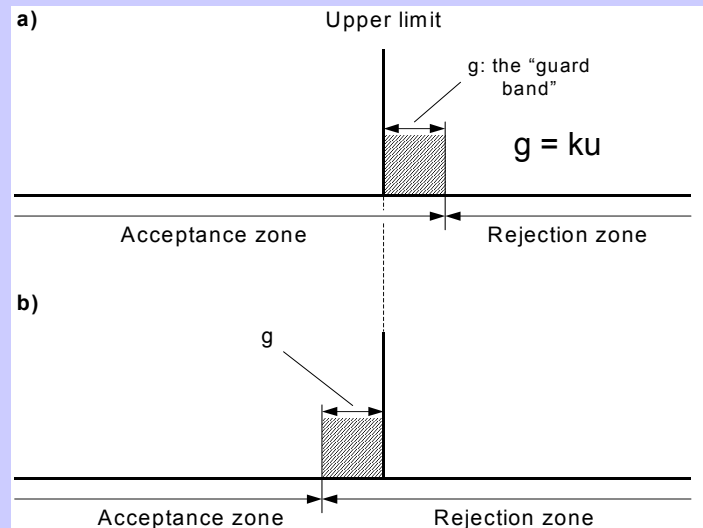
Probability Distribution of Value of Measurand



Decision Rules & Guard Bands



Decision Rules & Guard Bands



Decision Rules- Value of k

The batch will be considered to be non-compliant if the probability of the value of the measurand being greater than the limit exceeds 95%.

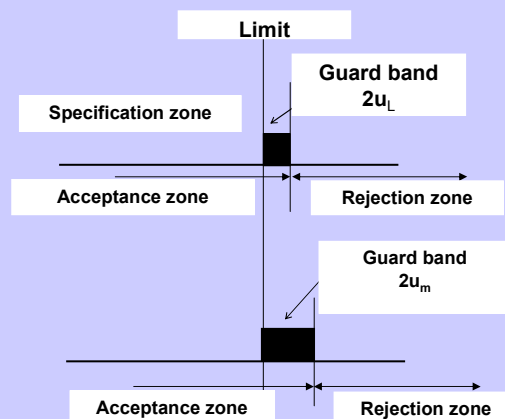
Distribution of value of measurand

1. Normal $k=1.65$
2. t - then $k = t_{95}$; for example if $v = 10$ then $k= 1.8$

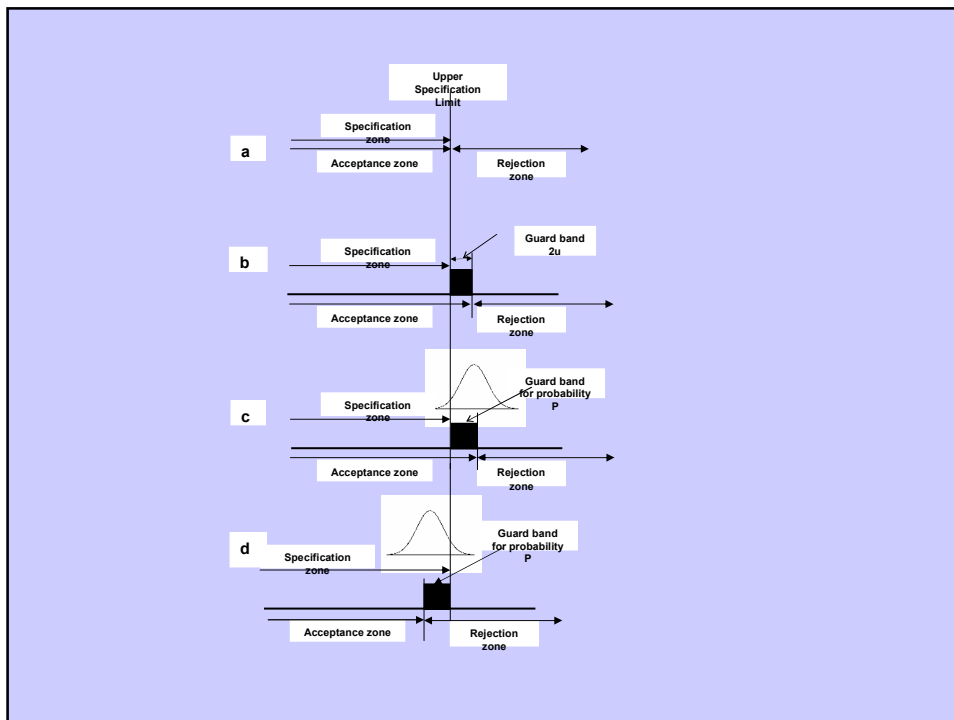
Relative Uncertainty Constant

1. The result will be taken as indicating non-compliance if the measured value x is greater than the limit value L by more than $k_\alpha \cdot u_L$ where u_L is the value of the uncertainty at the limit.
This gives a guard band g_1 of $L \cdot k_\alpha \cdot u_{rel}$ where u_{rel} is the relative uncertainty.
2. The result will be taken as indicating non-compliance if the measured value x is greater than the limit value L by more than $k_\alpha \cdot u_m$ where u_m is the value of the uncertainty at the measured value.
This gives a guard band g_2 of $L \cdot k_\alpha \cdot u_{rel} / (1 - k_\alpha \cdot u_{rel})$

Relative Uncertainty Constant



$$\text{Limit} = 2, k_\alpha = 2, u_{rel} = 0.25, g_1 = 1, g_2 = 2$$



Conclusion

Assessment of compliance requires

- a) a measurement result and a stated uncertainty
- b) a specification giving the upper and/or lower permitted limits of the characteristics (measurands) being controlled
- c) a decision rule that describes how the measurement uncertainty will be taken into account with regard to accepting or rejecting a product according to its specification and the result of a measurement.
- d) a reference to the decision rules used when reporting on compliance

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